DCT 1 5 2001 FORM PTO-1449 (Modified) Attorney Docket No.: A1-39US2 Application No.: 09/751,657 LIST OF PATENTS AND PUBLICATIONS FOR Applicant: ROLFE C. ANDERSON et al. APPLICANT'S INFORMATION DISCLOSURE Filing Date: December 31, 2000 Group: STATEMENT (Use several sheets if necessary) U.S. PATENT DOCUMENTS **Examiner Initial** Document No. Date Class . Sub-class Filing Date Name (If Appropriate) 5,436,129 07/25/1995 Stapleton WH 5,451,500 09/19/1995 Stapleton 5,486,335 01/23/1996 Wilding et al. 5,498,392 03/12/1996 Wilding et al. 5,500,188 03/1996 Hafeman et al 12/1996 Bard 5,580,523 5,587,128 12/1996 Wilding et al. 5,589,350 12/31/1996 Bochner et al. 5,653,939 08/1997 Hollis et al. 5,660,993 08/26/1997 Cathey et al. 5,700,637 12/23/1997 Southern 5,726,026 03/1998 Wilding et al 12/1998 Beattie 5,843,767 01/1999 5,858,195 Ramsey 5,863,801 01/1999 Southgate 5,876,918 03/1999 Wainwright et al Hansmann et al 5,952,173 09/1999 Dubrow et al. 5,976,336 11/1999 6,001,229 12/1999 Ramsey 12/1999 Kopf-Sill 6,001,231 6,010,607 01/2000 Ramsey 6,010,608 01/2000 Ramsey 6,033,546 3/2000 Ramsey FOREIGN PATENT DOCUMENTS Translation Document No. Date Country Class Sub-class (Yes/No) WO 90/15070 12/13/1990 PCT WO 92/10092 06/25/1992 **PCT** WO 93/09668 05/27/1993 PCT WO 94/05414 03/17/1994 **PCT** WO 98/52691 **PCT** 11/1998 WO 94/03791 02/1994 **PCT** WO 90/04645 05/1990 **PCT**

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) OCT 1 5 2001 Attorney Docket No.: A1-39US2 Application No.: 09/751,657 LIST OF PATENTS AND REBLICATIONS FOR Applicant: ROLFE C. ANDERSON et al. APPLICANT'S INFORMATION DISCLOSURE Filing Date: December 31, 2000 Group: STATEMENT (Use several sheet factors any) OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc?) Bart et al., "Microfabricated Electrohydrodynamic Pumps," Sensors and Action A21-23:193-197 (1990) Effenhauser et al., "Glass Chips for High-Speed Capillary Electrophoresis Separations with Submicrometer Plate Heights," Anal. Chem., 65:2637-2642 (1993) Fodor et al., "Light-Directed, Spatially Addressable Parallel Chemical Synthesis," Science, 251:767-777 (1991) Ghandi, VLSI Fabrication Principles, 2nd ed., John Wiley & Sons, Inc., Ch. 10, (1994) Harrison et al., "Micromachining a Minaturized Capillary Electrophoresis-Based Chemical Analysis System on a Chip," Science, 261:895-897 (1993) Horoqitz and Hill, The Art of Electronics, 2d. ed., Cambridge Univesity Press, Ch. 15, pp. 987-1041 (1994) Jacobsen et al., "High-speed Separationson a Microchip," Anal. Chem., 66:1114-1118 (1994) Luckey et al., "A model for the mobility of single-stranded DNA in capillary gel electrophoresis," Electrophoresis, 14:492-501 (1993) Manz et al., "Planar chips technology for miniaturization and integration of separation techniques into monitoring systems: Capillary electrophoresis on a chip," J. Chromatog., 593:253-258 (1992) Pease et al., "Light-generated oligonucleotide arrays for rapid DNA sequence analysis," PNAS, 91:5022-5026 (1994)Ricter et al., "An Electrohydrodynamic Micropump," 3rd IEEE Workshop on Micro Electro Mechanical Systems, February 12-14, 1990, Napa Valley, pp. 99-104 Ricter et al., "A micromachined electrohydrodynamic (EHD) pump," Sensors and Actuators, 29:159-165 (1991) Woolley and Mathies, "Ultra high-speed DNA fragment separations using microfabricated capillary array electrophoresis chips, PNAS, 91:11348-11352 (1994) Physical Acoustics, Principles and Methods, Vol. 2, Part B, Mason, ed., Academic Press (1965) Piezoelectric Technology, Data for Engineers, Clevite Corp., pp. 1-44. No date provided. Anderson et al., "Microfluidic biochemical analysis system," Technical Digest of Transducers '97, International Conference on Solid-State Sensors and Actuators. Chicago, p. 477-480 (1997) Anderson et al, "Microfluidic Genetic Analysis Systems: Improvements and Methods," Solid-State Sensor and Actuator Workshop, (Jun. 7-11, 1998) 4 pages total Anderson et al, "Microfluidic Genetic Analysis Systems: Improvements and Methods," Abstract for 1998 Solid-State Sensor and Actuator Workshop, (Jun. 7-11, 1998) 4 pages total Anderson et al., Technical Digest of Transducers '97, International Conference on Solid-State Sensors and Actuators, Chicago, p. 1311-1314 (1997). Bousse et al., "Biosensors for Detection of Enzymes Immobilized in Microvolume Reaction Chambers." Sensors and Actuators, B1:555-560 (1990) Effenhauser et al., "Glass Chips for High-Speed Capillary Electrophoresis Separations with Submicrometer Plate Heights," Anal. Chem., 65:2637-2642 (1993) Harrison et al., "Immunoassay Systems on Chip," Technical Digest of 1996 Solid-State Sensor and Actuator Workshop, Hilton Head Island, South Carolina, p. 5 (1996) Li et al., "Transport, manipulation, and reaction of biological cells on-chip using electrokinetic effects," Anal. Chem., 69(8): 1564-1568 (1997) Man et al., "Microfluidic Plastic Capillaries on Silicon Substrates: A New Inexpensive Technology for Bioanalysis Chips," Proceedings IEEE Tenth Annual International Workshop on Mechanical Systems, Nagoya, Japan, (Jan. 26-30, 1997) pp. 311-316

EXAMINER WITH DATE CONSIDERED

ONSIDERED 4/30/20

		· ·	Page 4				
4	FORM PTO-1449 (Modified)	Attorney Docket No.: A1-39US2	Application No.: 09/751,657				
	LIST OF PATENTS AND PUBLICATIONS FOR	Applicant: ROLFE C. ANDERSON et al.					
	APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Filing Date: December 31, 2000	Group: /7114				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	yborg, "Acoustical streaming," Physical Acoustics, Principles and Methods, vol. 2, Part B, Mason, ed., Acade press, Chapt. 11, pp. 265-333, (1965)						
,	Owicki et al., "The Light-Address Biophys. Biomol. Struct. 23:87-11.	Biophys. Biomol. Struct. 23:87-113 (1994)					
	phoresis in a microfabricated DNA						

RECENT OCT 1 9 2001 TC 1700

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket No.: A1-39US2 Application No.: 09/751,657 FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR Applicant: ROLFE C. ANDERSON et al. APPLICANT'S INFORMATION DISCLOSURE Filing Date: December 31, 2000 Group: STATEMENT (Use several sheets if necessary) U.S. PATENT DOCUMENTS Reference Designation Class rammer Initial Document No. Date Sub-class Filing Date (If Appropriate) 4426,451 01/17/1984 Columbus **4**90,216 12/1984 McConnell 4,591,550 5/1986 Hafeman et al 4,676,274 6/1987 Brown 11/1987 Humphries et al 4,704,353 4,758,786 7/1988 Hafeman 4,789,628 12/1988 Nayak 4,790,640 12/1988 Nason 4,849,330 7/1989 Humphries et al 11/1989 Humphries et al 4,883,579 4,911,794 3/1990 Parce et al 4/1990 Parce et al 4,915,812 4,963,815 Hafeman 10/1990 06/30/1992 Soane et al. 5,126,022 09/01/1992 Pirrung et al. 5,143,854 11/1992 Hafeman et al 5,164,319 5,171,132 12/15/1992 Miyazaki et al. 02/23/1993 Stapleton 5,188,963 5,229,297 7/1993 Schnipelsky et al Shartle et al. 07/27/1993 5,230,866 5,252,294 10/12/1993 Kroy et al. 12/21/1993 van Lintel 5,271,724 5,277,556 01/11/1994 van Lintel 5,281,516 01/25/1994 Stapleton et al. 5,296,375 03/22/1994 Kricka et al. Wilding et al. 5,304,487 04/19/1994 5,346,672 09/13/1994 Stapleton et al. 5,375,979 12/27/1994 Trah Stapleton 5,382,511 01/17/1995 Winkler et al. 5,384,261 01/24/1995 Fodor et al. 5,424,186 06/13/1995 03/1995 Parce et al 5,395,503

EXAMINER	WIL	DATE CONSIDERED	4/3	?9/1	23

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OIPE 007 1 8 2001

,		1 8 2001 8				Page 1		
FORM PTO-14	49 (Modifi	# /	Attorney Docket No.: A	1-39US2	Application N	Application No. 09/751,657		
LIST OF PATE	NTS AND PUBLI	CATIONS FOR	Applicant: Rolfe Anderson, et al					
INFORMATIO	SUPPLEMENT NOT DISCLOSURE Seets if necessary)	STATEMENT	Filing Date: December 31, 2000		Group: 1744			
Reference Desig	gnation	Ţ	J.S. PATENT DOCUME	NTS				
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)		
NHB	4,946,795	08/07/1990	Gibbons et al	436	179	,		
NHB	6,130,098	10/10/2000	Handique et al	436	180			
					8 C			
	,				2			
					7 7	\		
					1 6	Ò		
station cond.	-				90			
· · · · · · · · · · · · · · · · · · ·								
			-					
	,							
		FOF	REIGN PATENT DOCU	MENTS		·		
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)		
		ļ						
		·						
	O	THER ART (Incl	uding Author, Title, Date	e, Pertinent Pages	s, Etc.)			
					, , , , , , , , , , , , , , , , , , , ,			
· · · · · · · · · · · · · · · · · · ·								
	<u> </u>					-		
-,,			· · · · · · · · · · · · · · · · · · ·	-				
	<u> </u>							
		,	<u> </u>					
<u> </u>		 						

EXAMINER

Will

DATE CONSIDERED -

1/30/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.